

PATENT

Attorney Docket No. 05725.0489-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Stage of International  
Application No. PCT/FR99/00542 of:

Gérard LANG et al.

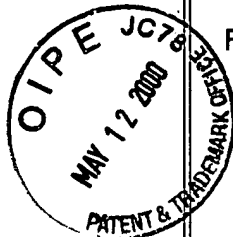
Serial No.: 09/424,116

Filed: January 6, 2000

For: OXIDATION DYEING COMPOSITION  
FOR KERATINOUS FIBRES  
CONTAINING A 3-AMINOPYRIDINE  
AZO DERIVATIVE AND DYEING  
METHOD USING SAID COMPOSITION

Group Art Unit: 1751

Examiner: Unassigned



REQUEST FOR CORRECTED NOTICE OF  
RECORDATION OF ASSIGNMENT

Honorable Commissioner of Patents  
and Trademarks  
Assignment Division  
Box Assignments  
Washington, D.C. 20231

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Sir:

The Notice of Recordation of Assignment Document which has been received from the U.S. Patent and Trademark Office is in error. The error is that the Filing Date is incorrect. The correct information has been inserted in black ink on the copy of the Notice submitted herewith.

Please supply a corrected Notice of Recordation of Assignment Document as soon as possible.

If any fees are due in connection with the filing of this request, the Commissioner is authorized to charge our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

Allen R. Jensen  
Reg. No. 28,224

Dated: May 12, 2000

LAW OFFICES  
FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
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202-408-4000

TLT/WZN/PAG



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MAY 01, 2000

FINNEGAN, HENDERSON, FARABOW, ET AL.  
THOMAS L. IRVING  
1300 I STREET, N.W.  
WASHINGTON, D.C. 20005

PTAS



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5725.0489

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/06/2000

REEL/FRAME: 010580/0870  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS)

ASSIGNOR:  
LANG, GERARD

DOC DATE: 11/10/1999

ASSIGNOR:  
COTTERET, JEAN

DOC DATE: 11/10/1999

ASSIGNOR:  
MAUBRU, MIREILLE

DOC DATE: 11/15/1999

ASSIGNEE:  
L'OREAL S.A.  
14, RUE ROYALE  
PARIS, FRANCE 75008

SERIAL NUMBER: 09424116  
PATENT NUMBER:

FILING DATE: 01/06/1999  
ISSUE DATE:

01/06/00  
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GARRETT AND DUNNER, LLP

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PATENT

Attorney Dock t No. 05725.0489-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Stage of International )  
Application No. PCT/FR99/00542 of: )  
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Gérard LANG et al. )  
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AZO DERIVATIVE AND DYEING )  
METHOD USING SAID COMPOSITION )

REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Attention: Application Processing Division  
Customer Correction Branch**

Sir:

We are forwarding herewith a copy of a Filing Receipt which has been received from the U.S. Patent and Trademark Office. There is an error in the Filing Receipt which is indicated in highlight on the copy. The Filing Date is incorrect. The correct information is shown in black ink below the erroneous information.

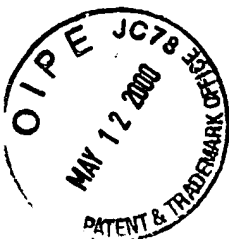
A copy of the date stamped postcard receipt and a copy of the Notification of

Acceptance of Application are enclosed to support this correction.

We request that a corrected Filing Receipt be supplied as soon as possible.

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Attorney Docket No. 05725.0489-00  
Serial No. 09/424,116

If any fees are due in connection with the filing of this paper, please charge our  
Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

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Allen R. Jensen  
Reg. No. 28,224

Date: May 12, 2000

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& DUNNER, L.L.P.  
1300 I STREET, N.W.  
WASHINGTON, DC 20005  
202-408-4000

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## FILING RECEIPT



\*OC00000004983544\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/424,116	01/06/1999 01/06/00	1751	1240	05725.0489	-	1	1

FINNEGAN HENDERSON FARABOW  
GARRETT & DUNNER  
1300 I STREET NW  
WASHINGTON, DC 20005

Date Mailed: 03/07/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

GERARD LANG, SAINT PRIX, FRANCE ;  
JEAN COTTERET, VERNEUIL-SUR-SEINE, FRANCE ;  
MIREILLE MAUBRU, CHATOU, FRANCE ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/FR99/00542 03/11/1999

## Foreign Applications

FRANCE 98/03453 03/20/1998

Foreign filing license granted on 03/06/2000

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GARRETT AND DUNNER, LLP

## Title

OXIDATION DYEING COMPOSITION FOR KERATINOUS FIBRES CONTAINING A  
3-AMINOPYRIDINE AZO DERIVATIVE AND DYEING METHOD USING SAID COMPOSITION

## Preliminary Class

008

Data entry by : ORDENEZ, MARTA

Team : OIPE

Date: 03/07/2000


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CW

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TLI-WZN-PAG

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY DOCKET NO.
09/424116	LANG	G 05725.0489
FINNEGAN HENDERSON FARABOW GARRETT & DUNNER 1300 I STREET NW WASHINGTON, DC 20005 3315		INTERNATIONAL APPLICATION NO. /
PCT/FR99/00542		
I.A. FILING DATE	PRIORITY DATE	
11 MAR 99	20 MAR 98	
DATE MAILED: 02 MAR 2000		

NOTIFICATION OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C. 371  
AND 37 CFR 1.494 OR 1.495

1. The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as ☒ a Designated Office (37 CFR 1.494), ☐ an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is **ACCEPTED** for national patentability examination in the United States Patent and Trademark Office.

2. The United States Application Number assigned to the application is shown above and the relevant dates are:

06 JAN 2000  
35 U.S.C. 102(e) DATE

06 JAN 2000  
DATE OF RECEIPT OF  
35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371(C) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

3. ☐ A request for immediate examination under 35 U.S.C. 371(f) was received on \_\_\_\_\_ and the application will be examined in turn.

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1999 5 2000

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GARRETT AND DUNNER, LLP

4. The following items have been received:

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☒ a non-English language.

☐ English.

☒ Translation of the international application into English.

☒ Oath or Declaration of inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments. ☐ Translation of Article 19 amendments into English.

The Article 19 amendments ☐ have ☐ have not been entered.

☐ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Copy of the Annexes to the International Preliminary Examination Report (IPER).

☐ Translation of Annexes to the IPER into English.

The Annexes ☐ have ☐ have not been entered.

☒ Preliminary amendment(s) filed 19 NOV 1999 and \_\_\_\_\_

☒ Information Disclosure Statement(s) filed 19 NOV 1999 and \_\_\_\_\_

☒ Assignment document.

☒ Power of Attorney and/or Change of Address.

☐ Substitute specification filed \_\_\_\_\_

☐ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

Paulette Kidwell, Paralegal

DK-10  
3-6-00

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**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

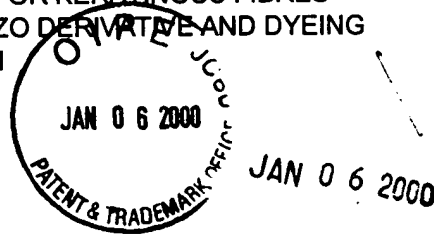
National Stage of International Application No. PCT/FR99/00542 under 35 U.S.C. 371

**For:** OXIDATION DYEING COMPOSITION FOR KERATINOUS FIBRES  
CONTAINING A 3-AMINOPYRIDINE AZO DERIVATIVE AND DYEING  
METHOD USING SAID COMPOSITION

**Serial No.:** 09/424,116

**Inventors:** Gérard LANG et al.

**BOX PCT**



- PAPERS FILED:**
1. Transmittal Letter concerning a filing under 35 U.S.C. 371 (Duplicate)/executed French Language Declaration/check for \$130.00
  2. Submission of Assignment of Application/Recordation Form Cover Sheet/executed Assignment/check for \$40.00

**Dated:** January 6, 2000

**Client No.:** 05725.0489-00000

**Attorney:** A. Gutowski/~~R. Gebhardt~~bauer - MD# 930

**DUE DATE: NO DUE DATE**

*Ok'd 01-02-00 pma*

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JUN 23 2001

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